### ANIMALS (CONTROL OF EXPERIMENTS) REGULATIONS

## FORM 1 Application Form

To: The	Director of Health			
I,				
of				
on the gr	counds hereinafter mentioned, hereby apply for —			
	(a) a Licence under section 7 of the Animals (Control of Experiments) Ordinance			
(Cap. 340).				
	(b) an endorsement / thereto / *to my existing Licence No.			
*	dated / under section 8 of the said Ordinance.			
	(c) a teaching permit under section 9 of the said Ordinance.			
	(d) an endorsement to / the said Licence / *my existing Licence No.			
	dated / under section 10 of the said Ordinance.			
Grounds	for application.			
Type of	experiment(s).			
1)   01				
Purnose	of experiment(s).			
1 dipose	of experiment(s).			
Dlace wi	nere experiment(s) may be conducted.			
Trace wi	iere experiment(s) may be conducted.			
Ovelifie	etions of Applicant and any posts hold			
Quaimic	ations of Applicant and any posts held.			
	$\mathbf{p}$			
	Dated			
<b>*</b>	Signed			
*Delete as a	ppropriate.			

The		ion below is not part of Form 1 but information supplied would help avoid unnecessary delay in g your application.			
Ple	Please put a 'tick' to the box against each of the followings which are applicable to your application.				
1.		I have not been granted a licence for the experiment under application before; [please go to (2), (3a or			
		3b) and (6) only] <u>OR</u>			
		I am the holder of a valid licence for the experiment under application; (Reference number of licence:			
		) [please go to (2), (4) and (6) only] <u><b>OR</b></u>			
		I am not the holder of a valid licence for the experiment under application but I have been previously			
		granted a licence for the experiment under application which has now expired. (Reference number of			
		latest licence:) [please go to (2), (5) and (6) only]			
2.		I hereby declare that in accordance with Regulations 4 and 5 of the Animals (Control of Experiments)			
		Regulations, Cap.340A ("the Regulations"), I shall keep up-to-date a book in the form set out as Form			
		6 in the Schedule to the Regulations and I shall render to the Director of Health on or before the 1st day			
		of January each year a return in the form set out as Form 7 in the Schedule to the Regulations of all			
		experiments* performed by me during the preceding twelve months.			
3.	(a)	Application for a licence without an "Endorsement to Enable Performance of Experiments			
		without Anaesthetics or without Destroying the Animal" under section 10 of the said Ordinance –			
		I confirm that throughout the whole of the experiment the animal is under the influence of some			
		anaesthetic of sufficient power to prevent the animal feeling pain; and if the pain is likely to continue			
		after the effect of the anaesthetic has ceased, or if any serious injury has been inflicted on the animal,			
		the animal is killed before it recovers from the influence of the anaesthetic which has been			
		administered; <u>AND</u>			
		I confirm that conditions/well-being of the animals will be monitored during the experiment; <u>AND</u>			
		I confirm that animals with signs of severe distress or pain will be euthanized before the end of the			
		study; <u>AND</u>			
		I confirm that the following method(s) to be used for sacrificing the animals will not cause			
		unnecessary/ prolonged pain to them:			
		$\square$ cervical dislocation ( $\square$ under anaesthesia OR $\square$ not under anaesthesia )			
		$\square$ decapitation ( $\square$ under anaesthesia OR $\square$ not under anaesthesia)			
		overdose of anaesthetic			
		carbon dioxide asphyxiation			
		exsanguinations under anaesthesia			
		other(s), please specify:			
	<b>(b)</b>	Application for a licence with an "Endorsement to Enable Performance of Experiments without			
		Anaesthetics or without Destroying the Animal" under section 10 of the said Ordinance –			
		I confirm that the experiment would necessarily be frustrated by –			
		the performance of such experiment under any anaesthetic; <u>AND/OR</u>			

killing the animal on which such experiment is performed before it recovers from the influence of

any anaesthetic.

Please indicate why –					
4.   I confirm that the experimental procedure	es under the type of experiment(s)* and the purpose of				
experiment(s)* are exactly the same as that	of my existing licence under the reference number quoted				
above; <u>AND</u>					
☐ I will not conduct any experiment* after t	the expiry date of my existing licence under the reference				
number quoted above until a new licence	is issued AND I have been keeping a proper Form 6 in				
accordance with regulation 4 of the Regulat	tions.				
5.  \[ \sum I confirm that the experimental procedure	es under the type of experiment(s)* and the purpose of				
experiment(s)* are exactly the same as that	of my previous licence under the reference number quoted				
above; <u>AND</u>					
☐ I hereby declare that I have not conducted a	☐ I hereby declare that I have not conducted any experiment* after the expiry date of my previous licence				
under the reference number quoted above A	AND I have kept a proper Form 6 during the validity period				
of my previous licence under the reference i	number quoted above in accordance with regulation 4 of the				
Regulations.					
6. I agree OR do NOT agree to receive SMS	Reminder through HK mobile tel. no for matters related to				
animal experiment application/licence.					
Remark: The Department of Health cannot guarantee .	successful receipt of SMS message.				
*"experiment" means any experiment performed or	a a living vertebrate animal and calculated to give pain				
(section 2 of the Animals (Control of Experiments) Ora	linance, Cap. 340).				
Full name**:	Contact No.:				
HK Identity Card/Passport/Travel Document No.:	Mobile No.:				
Email Address:	(Facsimile)				
	Signed				
(Institute/Company chop)***	(Applicant)				
** Eull name as any and an IIV II also Com I/D	tot/Turnel Decomment				

<sup>\*\*</sup> Full name as appears on HK Identity Card/Passport/Travel Document

<sup>\*\*\*</sup> Please obtain an official chop of the Institute/ Company where you are working or studying.

# Personal Information Collection Statement Relating to Licence/ Permit/ Endorsement Issued under the Animals (Control of Experiments) Ordinance, Chapter 340

#### **Purpose of Collection**

- 1. The personal data are provided by clients with whom the Department of Health (DH) interacts in the delivery of services, and other related activities. The personal data provided will be used by DH for the following purposes:
  - (a) processing matters related to licences/ permits/ endorsements;
  - (b) recording purposes;
  - (c) statistical purposes; and
  - (d) for any other purposes permitted by law.

Failure to provide the requested personal data may lead to delay or an inability to process relevant licence/ permit/ endorsement application.

#### **Classes of Transferees**

2. The personal data you provided will be kept confidential for use within DH but they may also be disclosed to other Government bureaux/ departments or relevant parties for the purposes mentioned in paragraph 1 above, if required. Apart from this, the data may only be disclosed to parties where you have given consent to such disclosure or where such disclosure is allowed under the Personal Data (Privacy) Ordinance or any other legislation.

#### **Access and Correction to Personal Data**

3. You have the right of access and correction with respect to your personal data as provided for in Sections 18 and 22 and Principle 6 of Schedule 1 of the Personal Data (Privacy) Ordinance. Your right of access includes the right to obtain a copy of your personal data. A fee may be imposed for complying with a data access request.

#### **Enquires**

4. Enquiries concerning personal data provided, including the making of access and corrections, should be addressed to:

Principal Medical and Health Officer (Health Technology and Advisory)

Department of Health

21/F, Wu Chung House

213 Queen's Road East

Wan Chai, Hong Kong

Tel: 2961 8975